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Docket N-6109
Customer No. 23456

16 JAN 03 2001
TECH CENTER 1600/2900

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sandra J. Rosenthal
Serial No.: 09/864,728
Filed: May 24, 2001
For: Linker Arms For Nanocrystals And Compounds Thereof
Group No. 1614
Attorney's Docket No. N-6109
Customer No. 23456

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

To the Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Applicants and their attorneys have become aware of the following additional prior art references which are relevant. Copies of the cited references are enclosed along with a Form PTO-1449 filed herewith.

1. U.S. Patent No. 6,221,602 B1 to Barbera-Guillem et al. issued April 24, 2001;
2. U.S. Patent No. 4,659,370 A to Saito et al. issued April 21, 1987;
3. U.S. Patent No. 3,873,680 A to Jackson et al. issued March 25, 1975;
4. U.S. Patent No. 3,892,878 A to Wilson et al. issued July 1, 1975;
5. U.S. Patent No. 4,188,340 A to Hickner et al. issued February 12, 1980;
6. U.S. Patent No. 4,720,585 A to Godfrey et al. issued January 19, 1988;
7. U.S. Patent No. 5,075,511 A to Li issued December 24, 1991;
8. U.S. Patent No. 5,912,319 A to Zook et al. issued June 15, 1999.

Copies of the references are enclosed.

Each document listed in this Information Disclosure Statement was cited in a communication from the USPTO in a counterpart PCT application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

The Commissioner is authorized to charge any deficiency with regard to this matter or credit any overpayment with regard to this matter to Deposit Account No. 23-0035.

Respectfully submitted,

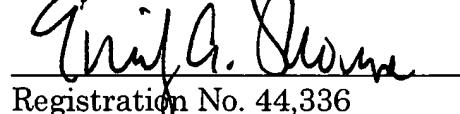


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CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this Supplemental Information Disclosure Statement, Form PTO-1449, and one copy of each cited reference are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date specified below.

Emily A. Shouse



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Date

12/4/01